25 April 2011

Supersedes SC Reg 1-20 dtd 03/2008

HUMAN RIGHTS POLICY AND PROCEDURES

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1. PURPOSE. This regulation establishes United States Southern Command (USSOUTHCOM) human rights policies and procedures for all U.S. Department of Defense (DoD) military and civilian personnel, along with contractors who are permanently or temporarily assigned to USSOUTHCOM, and for military and civilian personnel and contractors deploying into the USSOUTHCOM area of responsibility (AOR). It also assigns responsibilities to Component and Subordinate Commanders, U.S. Senior Defense Officials/Defense Attachés (SDO/DATTs) and Security Cooperation Organization (SCO) Chiefs in the AOR, and the USSOUTHCOM staff.

2. OBJECTIVES. In accordance with international and domestic law, as well as U.S. policy, the objectives of SC Regulation 1-20 are to:

a. Establish a human rights policy—that is consistent with U.S. law and policy—for USSOUTHCOM; its Component and Subordinate Commands, including Joint Inter-Agency Task Force South (JIATF-S), and for DoD personnel deploying into the USSOUTHCOM AOR.

b. Ensure human rights awareness education is conducted in accordance with this regulation and the USSOUTHCOM Human Rights Awareness Education Interactive Course.
c. Ensure all DoD personnel assigned to USSOUTHCOM, its Component and Subordinate Commands, and U.S. forces and contractors deploying into the AOR understand their obligation to respect, promote, and protect human rights, and to report all suspected violations of human rights.

d. Maximize engagement opportunities to foster greater respect for and understanding of human rights. Ensure all DoD personnel assigned to USSOUTHCOM, its Component and Subordinate Commands, and U.S. forces and contractors deploying into the AOR understand they serve as first-hand examples of the role of DoD employees in a free and democratic society and demonstrate, by their own actions and the awareness education they impart, respect for human rights.

3. SCOPE. This regulation applies to all DoD personnel and contractors, assigned/attached to USSOUTHCOM and its Component and Subordinate Commands, or deployed into the USSOUTHCOM AOR.

4. POLICIES AND PROCEDURES. USSOUTHCOM human rights policies and procedures advance respect for human rights in the Western Hemisphere. This is accomplished through awareness education, integration of human rights principles into operations, human rights advising, human rights reporting, and USSOUTHCOM-sponsored human rights engagement activities.

   a. Human Rights Awareness Education.

      (1) Sources: The USSOUTHCOM Human Rights Awareness Education Program will be developed from the following sources:

             (a) SC Regulation 1-20.

             (b) The Human Rights Awareness Education Interactive Course (see Appendix B).

             (c) Standing Orders Card, SC Form 165 (Appendix A).

             (d) Other material incorporated by the unit, as required, to meet specific mission requirements.

      (2) Initial and annual human rights awareness education for personnel assigned to USSOUTHCOM:

             (a) All personnel permanently or temporarily assigned to USSOUTHCOM, and its Component and Subordinate Commands, will complete initial human rights awareness education and will be issued a USSOUTHCOM Human Rights Standing Orders Card ("Human Rights Card"), SC Form 165 (Appendix A) within 60 days of arrival on station.

             (b) All personnel permanently assigned to USSOUTHCOM, and its Component and Subordinate Commands, will complete human rights awareness education annually and must pass the related exam according to the standards established.
(c) SDO/DATT (or SCO Chief where no SDO/DATT exists) will ensure personnel assigned to their respective units or teams complete (within 60 days of arrival on station) an annual human rights awareness education and pass the related exam. They also will issue each member a USSOUTHCOM Human Rights Standing Orders Card, SC Form 165 (Appendix A).

(3) Pre-deployment human rights awareness education:

(a) Commanders in charge of U.S. military personnel deploying into the USSOUTHCOM AOR will ensure human rights awareness education is provided prior to deployment. Human rights awareness education will include, at a minimum, a review of the requirements contained in this regulation, review of the Human Rights Awareness Education Interactive Course, and passing the related exam.

(b) Commanders will ensure all personnel are issued USSOUTHCOM Standing Orders Cards, SC Form 165 (Form and instructions for downloading from JKO can be found in Appendix A).

b. Integration of Human Rights Standards into Activities and Operations.

(1) Engagement activities: All planning agencies will ensure USSOUTHCOM-sponsored operations and exercises incorporate human rights awareness education and human rights principles and concerns, and, whenever feasible, contain specific scenarios that assess the U.S. operator’s understanding of his/her responsibilities related to human rights.

(2) Human rights program of instruction for host nation personnel: Commanders will ensure all operational and intelligence training provided to partner nation military and security forces, and additionally any training that involves ethical, political-military, legal, or human rights implications, contain a human rights component focusing on the responsibility of military and security forces to protect human rights. (See Appendix D.)

c. Human Rights Reporting.

(1) All DoD personnel will immediately report all instances of suspected violations of human rights through their chain of command to the country’s SDO/DATT (or SCO Chief where no SDO/DATT exists).

(2) SDO/DATT (or SCO Chief) will immediately report all suspected violations of human rights to the U.S. Ambassador and USSOUTHCOM Commander with a courtesy copy to the USSOUTHCOM Human Rights Branch. All suspected incidents will be reported, regardless of the identity of the perpetrator or the victim, and regardless of whether the alleged perpetrator or victim is military or civilian.

(3) Component and Subordinate Commanders will ensure personnel deployed into the AOR report all suspected violations of human rights through their chain of command. The Component and Subordinate Commander will forward information regarding an alleged
violation to USSOUTHCOM Commander with a courtesy copy to the USSOUTHCOM Human Rights Branch.

d. Human Rights Advising. The USSOUTHCOM Human Rights Branch will promote respect for human rights by assisting and supporting partner nation military and designated security forces in developing and strengthening their human rights programs through the Human Rights Initiative and other appropriate means.

5. RESPONSIBILITIES.

a. Component and Subordinate Commanders.

(1) Ensure all DoD personnel and contractors permanently or temporarily assigned to the Component and Subordinate Command complete, upon assignment and annually thereafter, the Human Rights Awareness Education Interactive Course, and all members of units deploying into the USSOUTHCOM AOR complete human rights awareness education prior to deployment in accordance with paragraph 4.a. of this regulation.

(2) Ensure all suspected violations of human rights are reported in accordance with paragraph 4.c. of this regulation.

(3) Ensure all Command-sponsored operations and exercises (e.g., operational, disaster relief, peacekeeping, counterdrg, etc.) incorporate human rights principles and concerns, and whenever feasible, contain specific scenarios that assess appropriate response in accordance with this regulation.

(4) Ensure operational and intelligence training provided to partner nation military and security forces, and additionally any training that involves ethical, political-military, legal, or human rights implications, contain a human rights component focusing on the responsibility of military and security forces to protect human rights. See Appendix D for recommended program of instruction.

b. SDO/DATT (or SCO Chief where no SDO/DATT exists).

(1) Assign one primary point of contact within the SCO responsible for obtaining specialized human rights instruction (such as the Human Rights Instructor Course at the Western Hemisphere Institute for Security Cooperation, WHINSEC), supporting human rights engagements in country, and briefing on military human rights standards and the host nation human rights situation as required.

(2) Ensure all DoD personnel and contractors permanently or temporarily assigned to their unit complete initial and annual human rights awareness education, pass the related exam, and are issued the Human Rights Standing Orders Pocket Card, SC Form 165, in accordance with paragraph 4.a.
(3) Verify through the country clearance process that members of deploying units report completion of human rights awareness education prior to deployment into the AOR in accordance with paragraph 4.a. of this regulation.

(4) Ensure all suspected violations of human rights are reported in accordance with paragraph 4.c. of this regulation.

(5) Support execution of the USSOUTHCOM program of assisting selected partner nation military and security force efforts to strengthen respect for human rights through the Human Rights Initiative and other appropriate means.

c. **USSOUTHCOM Staff.** All directorates, offices and special staff ensure all personnel assigned to or working in their respective sections take initial and annual human rights awareness education, pass the related exam, and are issued the Human Rights Standing Orders Pocket Card, SC Form 165, in accordance with paragraph 4.a. In addition, USSOUTHCOM directorates, offices, and special staff are assigned the following responsibilities:

(1) SCJ1:

(a) Provide all newly assigned USSOUTHCOM personnel with a copy of this regulation and the Human Rights Standing Orders Pocket Card, SC Form 165, upon in-processing.

(b) Assist USSOUTHCOM Human Rights Branch and SCJ6 with administrative tracking of USSOUTHCOM personnel compliance with the human rights awareness education requirement described in paragraph 4.a.

(2) SCJ2: Inform the USSOUTHCOM Human Rights Branch about human rights issues encountered through the normal course of analysis or intelligence gathering.

(3) SCJ3:

(a) Provide reports on human rights violations committed by members of partner nation military and security forces to the USSOUTHCOM Human Rights Branch.

(b) Ensure deployment orders and instructions for DoD personnel deploying into the USSOUTHCOM AOR include the requirement to conduct pre-deployment human rights awareness education, to incorporate a human rights component into all operational and intelligence training provided to partner nation military and security forces (and into any training that involves ethical, political-military, legal, or human rights implications—See Appendix D for recommended program of instruction), and to immediately report suspected violations of human rights per paragraph 4.c. of this regulation.

(c) Ensure human rights monitoring and support to partner nation military and security forces’ human rights promotion efforts are included as mission essential tasks in the Defense Readiness Reporting System.

(4) SCJ4, in coordination with SCJ1 and U.S. Army Garrison Miami:
(a) Maintain a stock of human rights Standing Orders Pocket Cards, SC Form 165, in sufficient supply for transport in support of U.S. personnel deployed for humanitarian assistance and disasters, peacekeeping, and/or other contingency operations.

(b) Support SDO/DATTs (or SCO Chief where no SDO/DATT exists) in maintaining in-country stocks of human rights Standing Orders Pocket Cards, SC Form 165, in sufficient supply for distribution to all U.S. military and defense personnel within 60 days of arrival on station.

(5) SCJ5:

(a) Incorporate human rights conditions and standards into USSOUTHCOM strategy and plans, where appropriate.

(b) Inform the USSOUTHCOM Human Rights Branch about the issuance of or updates to national strategy, policies, and doctrinal publications that pertain to human rights.

(c) Inform the USSOUTHCOM Human Rights Branch of developments related to human rights in the AOR in the normal course of duties, including in-country engagements and interaction with the SDO/DATT (or SCO Chief).

(6) SCJ6: Assist the USSOUTHCOM Human Rights Branch and SCJ1 with automated tracking of USSOUTHCOM personnel compliance with the human rights awareness education requirement described in paragraph 4.a.

(7) SCJ7:

(a) Exercise overall responsibility for planning and execution of the USSOUTHCOM human rights program.

(b) Review security cooperation planning documents to ensure human rights standards are included where appropriate.

(c) Ensure human rights monitoring and support to partner nation military and security forces’ human rights promotion efforts are included in USSOUTHCOM Joint Mission Essential Task development.

(d) Ensure USSOUTHCOM-sponsored operations and exercises (e.g., operational, disaster relief, peacekeeping, counterdrug, etc.) incorporate human rights principles and concerns, and whenever feasible, contain specific scenarios that assess appropriate response in accordance with this regulation.

(e) Periodically review exercise and deployment after action reports (AARs) to determine the impact of the human rights training received by U.S. and partner nation personnel. Ensure any allegations of suspected violations of human rights contained in AARs are reported to the U.S. Ambassador or Embassy official in country and coordinated with the SDO/DATT (or
SCO Chief where no SDO/DATT exists), USSOUTHCOM Legal Office (SCSJA), and other appropriate USSOUTHCOM staff agencies.

(f) Assist and support partner nation military and designated security forces in developing and strengthening their human rights programs through the Human Rights Initiative and other appropriate means. Ensure supported partner nation military and security forces adopt a comprehensive approach to incorporating human rights, including review and update of doctrine and training, establishment of transparent procedures for cooperation with the appropriate civilian authorities, and strengthening military judicial and other internal control systems.

(g) Review and maintain U.S. and foreign government reports regarding AOR nations’ human rights performance. Conduct periodic meetings with representatives of human rights nongovernmental organizations (NGOs), regional and international human rights agencies, academia, and the inter-agency community on human rights issues of importance to the Command. Report significant findings and recommendations to the USSOUTHCOM Commander.

(h) Provide reports of alleged violations of human rights in USSOUTHCOM AOR nations, coordinated with the SDO/DATT (or SCO Chief where no SDO/DATT exists) and country team of the country in question, and submit results and findings of human rights incidents reported by DoD personnel in accordance with this regulation to the USSOUTHCOM Commander.

(i) Manage the USSOUTHCOM Human Rights Awareness Education Program as described in paragraph 4.a. of this regulation.

(j) When requested by the Commander, develop and employ specialized human rights awareness education modules for countries with high incidence of human rights abuse, and for specialized operations, such as detainee operations and combating trafficking in persons. Provide advanced human rights awareness education sessions to human rights representatives and alternates from USSOUTHCOM staff and directorates. Sponsor attitude and knowledge surveys, tabletop exercises, special conferences, guest speakers, and other types of human rights events as tools for raising awareness within USSOUTHCOM.

(k) Conduct periodic debriefs of DoD personnel, who have deployed or been assigned within nations of the USSOUTHCOM AOR, on human rights issues of importance to the Command in order to assess the effectiveness of USSOUTHCOM and partner nation human rights programs and revise and update human rights material accordingly.

(l) Serve as the Office of Primary Responsibility for Combating Trafficking in Persons (CTIP), in accordance with DoD Instruction 2200.1 dated 15 SEP 2010. Monitor and report on compliance with DoD-mandated annual CTIP awareness training (See Appendix C).

8 SCJ8: Ensure compliance with human rights standards is integrated into the Theater Campaign Plan assessment as appropriate.

9 SCJ9: Provide other USG representatives with information and updates on USSOUTHCOM and partner nation militaries’ human rights promotion activities.
(10) SCSJA:

(a) Assist USSOUTHCOM Human Rights Branch in developing human rights awareness education programs and provide guidance on legal aspects of human rights as appropriate.

(b) Review all reports of alleged violations of human rights and coordinate with the U.S. Embassy and the USSOUTHCOM Human Rights Branch in reporting to USSOUTHCOM Commander.

(11) SCIG:

(a) Include compliance with this regulation on checklist during periodic inspections of Security Cooperation Organizations, Component Commands, and Subordinate Commands.

(b) Report findings on compliance with this regulation to the USSOUTHCOM Human Rights Branch.

The proponent agency of this regulation is the U.S. Southern Command. Users are invited to send comments and suggested improvements directly to HQ USSOUTHCOM, SCJ7, 9301 NW 33rd Street, Doral, Florida 33172-1202.

SCJ7

FOR THE COMMANDER:

[Signature]

J.G. AYALA
Brigadier General, U.S. Marine Corps
Chief of Staff

DISTRIBUTION:
D
APPENDIX A

USSOUTHCOM Human Rights Standing Orders Card

1. The following card is produced as a wallet-size item issued to all USSOUTHCOM personnel, as well as all personnel deploying into the USSOUTHCOM AOR.

2. Cards can be obtained from within the Course Resources folder of the Human Rights Awareness Education Interactive Course, available at Joint Knowledge Online (JKO), http://jko.jfcom.mil/ (JKO account required). The course is located on the Learning Management System (LMS), accessible by selecting “Take Courses” on the JKO main page and locating the course (J3SN-US649-HB) under the “J3S” tab of Joint Courses in the course enrollment section.
US SOUTHCOM REPORTING PROCEDURES

THE FIVE Rs OF HUMAN RIGHTS

RECOGNIZE

REFRAIN

REACT

RECORD

REPORT

SC FORM 165, 1 APRIL 2011
USSOUTHCOM HUMAN RIGHTS STANDING ORDERS

- The U.S. Department of Defense (DoD) supports Human Rights Law and The Law of Armed Conflict and enforces standards of conduct that serve to protect the dignity of each individual.
- Human rights include fundamental protections for individuals such as freedom from genocide, slavery, murder, torture, cruel, inhuman or degrading treatment, prolonged arbitrary detention, and systematic racial discrimination.
- DoD personnel must never participate in any activity which is contrary to this policy nor encourage others to do so. DoD personnel will disengage from activities that are contrary to U.S. policy and will ensure other DoD personnel do not practice, encourage, or condone such activity.
- It is the duty of all DoD personnel to record and report any possible human rights violation they observe regardless of whom is involved.
- DoD personnel must fully cooperate with any investigation into human rights violations. However, no person loses his/her Article 31 (UCMJ), 5th Amendment rights of protection from self-incrimination.
- DoD personnel are visible representatives of United States legal and moral principles. Their actions, words, and deeds must reflect fundamental support of human rights, military honor, and the rule of law.
- This USSOUTHCOM card constitutes legal and binding orders on all DoD personnel operating in the AOR.
APPENDIX B

Instructions for Access to Human Rights Awareness Education Interactive Course

1. To log in or register for human rights awareness education, users must create a Joint Knowledge Online (JKO) account.*

2. Log in to JKO from http://jko.jfcom.mil/, then from the main page click on "Take Courses". This will open the Learning Management System (LMS).

3. Scroll over "Enrollment" at the top of the page and select "Browse Courses".

4. Select the "J3S" Tab under Joint Courses.

5. Check the box next to J3SN-US649-HB. (It may be necessary to scroll through a few pages to locate the course).

6. Select "Enroll" at the bottom of the page. (On the next page, status should appear as enrolled.)

7. Select "View Course".

8. Launch the course from the homepage of the LMS. Ensure functioning audio before launching the course, as much of the content is voice-only. Upon passing the exam at the end of the course, follow the instructions to print a certificate from within the LMS.

* To create a JKO account: Click on “New User” from http://jko.jfcom.mil/ and follow the instructions. DoD Contractors and others requiring a sponsored account may enter “joint.training” as the sponsor when prompted.

If experiencing difficulty creating a JKO account, logging in, enrolling in the human rights course, or navigating the course, contact the JKO HELP DESK at (757) 203-5654.
APPENDIX C

Instructions for Access to DoD Combating Trafficking in Persons (CTIP) Training on JKO

1. Log in to Joint Knowledge Online (JKO)* from http://jko.jcom.mil/, then from the main page click on "Take Courses". This will open the Learning Management System (LMS).

2. Scroll over “Enrollment” at the top of the page and select “Browse Courses”.

3. Select the “J3T” Tab under Joint Courses.

4. Check the box next to J3TA-US030.

5. Select “Enroll” at the bottom of the page. (On the next page, status should appear as enrolled.)

6. Select “View Course”.

7. Launch the course from the homepage of the LMS.

* To create a JKO account: Click on “New User” from http://jko.jcom.mil/ and follow the instructions. DoD Contractors and others requiring a sponsored account may enter “joint.training” as the sponsor when prompted.

If experiencing difficulty creating a JKO account, logging in, enrolling in the CTIP course, or navigating the course, contact the JKO HELP DESK at (757) 203-5654.
APPENDIX D

Recommended Human Rights Program of Instruction for Host Nation Personnel

1. Joint Doctrine on Foreign Internal Defense empowers U.S. defense personnel to positively influence foreign militaries’ respect for and understanding of human rights and international law. (JP 3-22). In all engagements with host nation personnel, it is important for U.S. personnel to be clear and confident on U.S. policy regarding human rights. Respect for human rights is the law and is central to U.S. foreign policy. While not conveying a sentiment of moral superiority, U.S. defense representatives must uphold the U.S. position of leadership and example-setting on respect for human rights and other democratic values, and encourage partner nation counterparts to show the same leadership within and on behalf of their respective institutions.

2. Below are recommended resources for providing human rights instruction to host nation personnel. Component and SCO personnel designated as human rights instructors for their respective organizations should contact the Human Rights and International Law Division at the Western Hemisphere Institute for Security Cooperation (WHINSEC) to enroll in the appropriate training, to include if possible and appropriate CMS-5, the Human Rights Instructor Course (in Spanish).

   a. International Committee of the Red Cross (ICRC): “Servir y Proteger”. Used as the basis for the WHINSEC CMS-5 Human Rights Instructor Course, “Servir y Proteger” is a Spanish-language international law and human rights curriculum designed for military and security forces (including police) conducting public security, law enforcement, and related missions involving interaction with civilians:

      http://www.icrc.org/web/spa/sitespa0.nsf/html/p0698

      Recommended chapters include Chapter 2 (Human Rights Law), Chapter 10 (Use of Force), and Chapters 11-14 (Vulnerable Groups):

      http://www.icrc.org/WEB/SPA/sitespa0.nsf/htmlall/p0698/SFile/ICRC_003_0698.PDF

   b. Partner Nation Human Rights Promotion: Case Examples. Whenever possible, refer to positive examples of other partner nations’ efforts to promote military respect for human rights, such as published human rights policies and doctrine. One such example is the Colombian Ministry of Defense’s Comprehensive Human Rights and International Law Policy:

      http://www.mindefensa.gov.co/irj/go/km/docs/Mindefensa/Descargas/Legales/Política_DDHH_MDN.pdf

3. A model human rights curriculum can be found within the Course Resources folder of the Human Rights Awareness Education Interactive Course, available at Joint Knowledge Online (JKO), http://jko.jicom.mil/ (JKO account required). The course is located on the Learning Management System (LMS), accessible by selecting “Take Courses” on the JKO main page and locating the course (J3SN-US649-HB) under the “J3S” tab of Joint Courses in the course enrollment section.